



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventor(s): Richard Mousseau; Prasenjit Mukherjee;

Deborah C. June

Appln. No.: 10/617,909

Confirm. No.: 4228

Filed: July 11, 2003

Title: SYSTEM AND METHOD FOR IMPLEMENTING  
J2EE CONNECTOR ARCHITECTURE

PATENT APPLICATION

Art Unit: 2131

Examiner: Unknown

Customer No. 23910

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8**

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Art Unit 2131, Commissioner for Patents, P.O. Box 1450, Art Unit 2131, Alexandria, VA 22313-1450, on December 2, 2003.

(Attorney Signature)

Stephen R. Bachmann, Reg. No.: 508,806

Signature Date: December 2, 2003

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56**

Art Unit 2131

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56. If this is a continuation, divisional or continuation-in-part application, it is understood that the Examiner will consider all information which was considered by the Office in a parent application. MPEP §609. Such information therefore is not listed herein unless it is desired that the information be printed on a patent issuing from the subject application.

***Enclosed with this statement are the following:***

- ☒ Form PTO-1449. The Examiner is requested to initial the form and return it to the undersigned in accordance with M.P.E.P. §609.
- ☒ The present application is being/was filed after June 30, 2003. In accordance with the pre-official gazette waiver of 37 CFR 1.98 (a)(2)(i) posted at: [pac/dapp/opla/preognotice/idswouscopies.htm](http://pac/dapp/opla/preognotice/idswouscopies.htm), copies of cited U.S. patents and published applications are not enclosed. However, copies of cited foreign patent documents and non-patent literature are enclosed in accordance with 37 CFR 1.98(a)(2), as still required.

— The present application was filed prior to June 30, 2003. A copy of each cited document as required by 37 C.F.R. §1.98 is enclosed. Copies are not submitted of documents previously submitted by the applicant in a parent application from which benefit under 35 U.S.C. §120 is claimed, 37 C.F.R. §1.98(d)(1), with an *Information Disclosure Statement* submitted in the parent application which complies with the September 8, 2000 or subsequent revision of 37 C.F.R. §1.98(a-c). If any of the cited/submitted documents is in a foreign language, a concise explanation of relevance is provided pursuant to 37 C.F.R. §1.98(a)(3)(i). For foreign language documents cited in a search report by a foreign patent office, the requirement for a concise explanation of relevance is satisfied by the submission herewith of an English language version of the search report. MPEP §609A(3). If a written English-language translation of a non-English language document, or portion thereof, is within the possession, custody or control of, or is readily available to any individual designated in §1.56(c), a copy of the translation accompanies this statement, 37 C.F.R. §1.98(a)(3)(ii), and satisfies the requirement for a concise explanation of relevance, MPEP §609A(3).

✓ — A copy of International Search Report dated July 10, 2003.

— ***PTA Statement under 37 C.F.R. §1.704(d).*** Each item of information contained in the *Information Disclosure Statement* was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the *Information Disclosure Statement*.

***This statement should be considered because:***

✓ — **37 C.F.R. §1.97(b).** This statement qualifies under 37 C.F.R. §1.97, subsection (b) because:

- (1) It is being filed within three months of the filing date of an application other than a continued prosecution application under § 1.53(d);  
-- OR --
- (2) It is being filed within 3 months of entry of a national stage;  
-- OR --
- (3) It is being filed before the mailing date of the first Office Action on the merits,  
-- OR --
- (4) It is being filed before the mailing date of the first Office Action after the filing of a Request for Continued Examination under 37 C.F.R. §1.114.

— **37 C.F.R. §1.97(c).** Although it may not qualify under subsection (b), this statement qualifies under 37 C.F.R. §1.97, subsection (c) because:

- (1) It is being filed before the mailing date of a FINAL Office Action, a Notice of Allowance, or an action that otherwise closes prosecution in the subject application, whichever occurs first.

-- AND (check at least one of the following) --

- (1) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e).  
-- OR --
- (2) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).

— **37 C.F.R. §1.97(d).** Although it may not qualify under subsection (b) or (c), this statement qualifies under 37 C.F.R. §1.97, subsection (d) because:

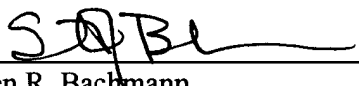
- (1) It is being filed on or before payment of the Issue Fee;  
-- AND --
- (2) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e);  
-- AND --
- (3) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).

✓ ***Fee Authorization.*** The Commissioner is hereby authorized to charge any deficiencies or credit any overpayment to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

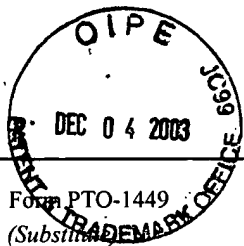
Respectfully submitted,

FLIESLER DUBB MEYER & LOVEJOY LLP

Date: December 2, 2003

By:   
Stephen R. Bachmann  
Reg. No. 50, 806

FLIESLER DUBB MEYER & LOVEJOY LLP  
Four Embarcadero Center, Fourth Floor  
San Francisco, California 94111-4156  
Telephone (415) 362-3800


Form PTO-1449  
(Substituted)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

Attorney Docket Number  
BEAS-01076US1

Serial/Patent Number  
10/617,909

*Information Disclosure Statement*  
**BY APPLICANT**  
(Use several sheets if necessary)

Applicant/Patent Owner  
Mousseau et al.

Filing/Issue Date  
July 11, 2003

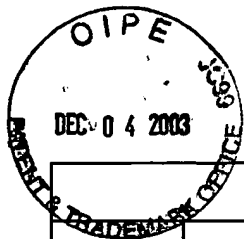
Group Art Unit  
2131

**U.S. PATENTS**

Examiner Initial		Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date
	1.	5,774,689	June 30, 1998	Curtis et al.			
	2.	5,819,107	October 6, 1998	Lichtman et al.			
	3.	5,910,180	June 8, 1999	Flory et al.			
	4.	5,926,775	July 20, 1999	Brumley et al.			
	5.	6,055,243	April 25, 2000	Vincent et al.			
	6.	6,173,327	January 9, 2001	De Borst et al.			
	7.	6,189,046	February 12, 2001	Moore et al.			
	8.	6,212,556	April 3, 2001	Arunachalam			
	9.	6,243,753	June 5, 2001	Machin et al.			
	10.	6,269,373	July 21, 2001	Apte et al.			
	11.	6,453,356	Sept. 17, 2002	Sheard et al.			
	12.	6,466,972	Oct. 15, 2002	Paul et al.			
	13.	6,411,956	June 25, 2002	Ng			
	14.	6,542,845	April 1, 2003	Grucci et al.			

**U.S. PATENT PUBLICATIONS**

Examiner Initial		Patent Application Publication Number	Publication Date	Applicant
	15.	2003/0051102 A1	March 13, 2003	Jacobs et al.
	16.	2003/0065708 A1	April 3, 2003	Jacobs et al.
	17.	2003/0158908 A1	August 21, 2003	Jacobs et al.



## PENDING U.S. PATENT APPLICATIONS

Examiner Initial		Application Number	Filing Date	First Named Inventor	Petition to Expunge?
					Yes   No

## FOREIGN PATENT DOCUMENTS

Examiner Initial		Document Number	Publication Date	Country	Class	Subclass	Trans- lation
							Yes   No

## OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages)

--	--	--

Examiner	Date Considered
----------	-----------------

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*1 = Copy not submitted because it was submitted in prior application SN    /   , filed   , 20  , relied on under 35 USC §120.

\*2 = Copy not submitted because it was submitted in prior application SN    /   , filed   , 20  , relied on under 35 USC §120.